



SHEET 1 OF 1

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE

LIST OF PRIOR ART CITED BY APPLICANT

DOCKET NO : 3499-86 SERIAL NO : 09/680,771
APPLICANT : Silverman et al. EXAMINER : Not assigned
FILING DATE : October 6, 2000 GROUP ART UNIT 2161

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U.S. PATENT DOCUMENTS

<u>*EXAMINER'S</u> <u>INITIALS</u>	<u>DOCUMENT</u> <u>NUMBER</u>	<u>CLASS</u>	<u>SUBCLASS</u>	<u>DATE</u>
<i>gwb</i>	5,915,245			6/22/99

FOREIGN PATENT DOCUMENTS

<u>*EXAMINER'S</u> <u>INITIALS</u>	<u>DOCUMENT</u> <u>NUMBER</u>	<u>DATE</u>	<u>COUNTRY</u>	<u>CLASS</u>	<u>SUBCLASS</u>	<u>TRANSLATION</u> <u>YES</u> <u>NO</u>
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considered on 11/26/03
Jay L. Pat
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OTHER PRIOR ART

(INCLUDING AUTHOR, TITLE DATE, PERTINENT PAGES, ETC.)

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*EXAMINER

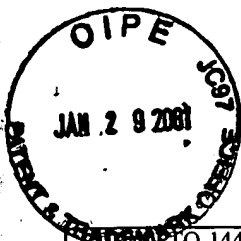
"Financial Information Exchange Protocol (FIX)", June 30, 1999, first published March 31, 1999.

Cover, Robin, "The XML Cover Pages; FIXML – A Markup Language for the FIX Application Message Layer", <<http://www.oasis-open.org/cover/fixml.html>>, last modified March 31, 1999.

INITIALS

EXAMINER: _____ DATE CONSIDERED: _____

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



PTO-1449 U.S. Department of Commerce Patent and Trademark Office

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Serial No. 09/680,771

Inventors: Silverman et al.

Docket No. 3499-086

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>SM</i>	5,918,216	6/29/99	Miksovsky et al.	705	35	8/22/96
<i>SM</i>	5,918,218	6/29/99	Harris et al.	705	37	9/1/94
<i>SM</i>	5,774,877	6/30/98	Patterson, Jr. et al.	705	35	9/20/94

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION

OTHER DOCUMENTS

EXAMINER INITIAL	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.

EXAMINER

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